



Attorney Docket U03-0031.45

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ivan N. Wakefield

Serial Number: 10/605,172

Confirmation No. 2171

Filed: September 12, 2003

Group Art Unit: 2877

For: METHOD AND DEVICE FOR COMMUNICATION USING AN OPTICAL SENSOR

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.56 AND 37 C.F.R. § 1.97**

It is respectfully requested that the document(s) listed on the attached Form PTO/SB/08A be considered by the Patent and Trademark Office in the above-referenced application and made of record therein. Full text copies of the relevant documents are enclosed.

Cited in the SB/08 is a copy of the International Search Report (which fulfills the requirement of providing an English language description of relevance for non-English references) and the Written Opinion from the related PCT application.

Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. 37 CFR § 1.97(e)(1).

Respectfully submitted,

By:

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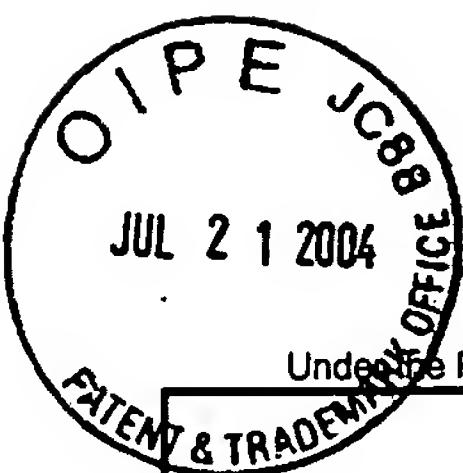
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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/605,172
Filing Date	September 12, 2003
First Named Inventor	Ivan Nelson Wakefield
Group Art Unit	3618
Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number U03-0031-45

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): *Acknowledgement Postcard *7 cited references
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	CHARLES L. MOORE (REG NO. 33,742) MOORE & VAN ALLEN
Signature	
Date	July 19, 2004

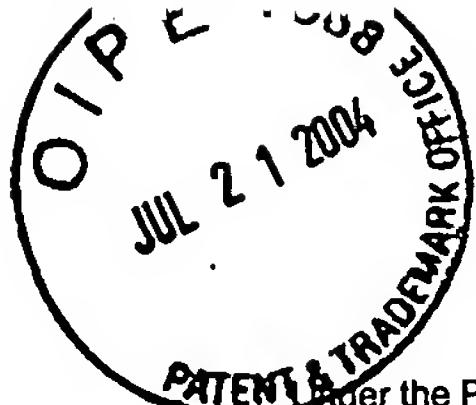
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Typed or printed name	Katie M. Efland		
Signature		Date	July 19, 2004

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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

Approved for use through 07/01/2008. GMD 0501-003
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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/605,172
(use as many sheets as necessary)		Filing Date	September 12, 2003
		First Named Inventor	Ivan Nelson Wakefield
		Art Unit	
		Examiner Name	
Sheet	1	of	2
		Attorney Docket Number	019778-000045

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	B	EPO 2002/111841 (Abstract)	04/12/2002	Sekli Yasuyuki		
	C	EPO 2002/252691 (Abstract)	09/06/2002	Mukoyama Keiichi		
	D	DE 197 36 809 A1	02/25/1999	Herzog Gustav		
	E	EP 0 851 403 A2	07/01/1998	Casio Computer Co. Ltd.		

**Examiner
Signature** _____ **Date
Considered** _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/605,172
		Filing Date	September 12, 2003
		First Named Inventor	Ivan Nelson Wakefield
		Art Unit	
		Examiner Name	
Sheet	2	of	2
		Attorney Docket Number	
		019778-000045	

NON PATENT LITERATURE DOCUMENTS

**Examiner
Signature** _____ **Date
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Include copy of this form with next communication to applicant.

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